

Uppingham Neighbourhood Plan

Sustainability Appraisal/ Strategic Environmental Assessment & Habitats Regulations Assessment

Screening Report

January 2014

**Strategic Environmental Assessment and Habitats Regulations Assessment
Screening Report for Uppingham Neighbourhood Plan**

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1. Introduction

- 1.1 This screening report is designed to determine whether or not the contents of the submission draft Uppingham Neighbourhood Plan (hereafter known as 'UNP') (December 2013) requires a Strategic Environmental Assessment (SEA) in accordance with the European Directive 2001/42/EC and associated Environmental Assessment of Plans and Programmes Regulations 2004.
- 1.2 This report will also screen to determine whether or not the UNP requires a Habitats Regulations Assessment in accordance with Article 6(3) of the EU Habitats Directive and with Regulation 61 of the Conservation of Habitats and Species Regulations 2010 (as amended). A HRA is required when it is deemed that likely negative significant effects may occur on protected European Sites (Natura 2000 sites) as a result of the implementation of a plan/project. As a general 'rule of thumb' it is identified that sites with pathways of 10-15km of the plan/project boundary should be included with a HRA. Rutland Water Special Protection Area (SPA)/RAMSAR is the only international designated site within a 15km radius of the UNP boundary.
- 1.3 The purpose of the UNP is to retain and enhance the traditional values of a small market town ensuring that future development in Uppingham reflects the community's needs and aspirations incorporating new technology where appropriate.
- 1.4 The legislative background set out in the following section outlines the regulations that require the need for this screening exercise. Section 4, provides a screening assessment of both the likely significant environmental effects of the UNP and the need for a full SEA. Section 5, provides a screening assessment of both the likely significant effects of the implementation of a UNP and the need for a Habitats Regulation Assessment.

2. Legislative Background

Strategic Environmental Assessment (SEA)

- 2.1 The basis for Strategic Environmental Assessments and Sustainability Appraisal legislation is European Directive 2001/42/EC and was transposed into English law by the Environmental Assessment of Plans and Programmes Regulations 2004, or SEA Regulations. Detailed Guidance of these regulations can be found in the Government publication 'A Practical Guide to the Strategic Environmental Assessment Directive' (ODPM 2005).
- 2.2 The Planning and Compulsory Purchase Act 2004 required Local Authorities to produce Sustainability Appraisals (SA) for all local development documents to meet the requirement of the EU Directive on SEA. It is considered best practice to incorporate requirements of the SEA Directive into an SA. This is also discussed within the NPPF para 165.
- 2.3 However, the 2008 Planning Act amended the requirement to undertake a Sustainability Appraisal for only development plan documents (DPD's), but did not remove the requirement to produce a Strategic Environmental Assessment. A Neighbourhood Plan is not a development plan document and therefore does not legally require a Sustainability Appraisal. Where appropriate, however, an SEA assessment still needs to be undertaken in line with the SEA regulations.

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- 2.4 To fulfil the legal requirement to identify if the UNP requires an SEA a screening for a SEA and the criteria for establishing whether a full assessment is needed is undertaken in chapter 4 of this report.

Habitat Regulation Assessment (HRA)

- 2.5 It is required by Article 6 (3) of the EU Habitats Directive and by regulation 61 of the Conservation of Habitats and Species Regulations 2010 (as amended) that an appropriate assessment is carried out with regard to the Conservation Objectives of the European Sites and with reference to other plans and projects to identify if any significant effect is likely for any European Site.
- 2.6 To fulfil the legal requirements to identify if likely significant effects will occur with the implementation of the UNP upon the European Sites (Natura 2000 sites) a screening assessment has been undertaken in chapter 5 of this report.

3. Document Structure

- 3.1 This report will be split into two parts. The first will cover the screening for the SEA and the second will cover the screening process for the HRA. A summary of findings and conclusions for both screening processes can be found in the conclusions chapter at the end of this document.

4. SEA Screening

Criteria for Assessing the Effects of UNP

- 4.1 Criteria for determining the likely significance of effects referred to in Article 3(5) of Directive 2001/42/EC are set out below:

1. The characteristics of plans and programmes, having regard, in particular, to
 - the degree to which the plan or programme sets a framework for projects and other activities, either with regard to the location, nature, size and operating conditions or by allocating resources,
 - the degree to which the plan or programme influences other plans and programmes including those in a hierarchy,
 - the relevance of the plan or programme for the integration of environmental considerations in particular with a view to promoting sustainable development,
 - environmental problems relevant to the plan or programme,
 - the relevance of the plan or programme for the implementation of Community legislation on the environment (e.g. plans and programmes linked to waste-management or water protection).
2. Characteristics of the effects and of the area likely to be affected, having regard, in particular, to
 - the probability, duration, frequency and reversibility of the effects,
 - the cumulative nature of the effects,
 - the transboundary nature of the effects,
 - the risks to human health or the environment (e.g. due to accidents),
 - the magnitude and spatial extent of the effects (geographical area and size of the population likely to be affected),
 - the value and vulnerability of the area likely to be affected due to:
 - special natural characteristics or cultural heritage,
 - exceeded environmental quality standards or limit values,
 - intensive land-use,
 - the effects on areas or landscapes which have a recognised national, Community or international protection status.

Source: Annex II of SEA Directive

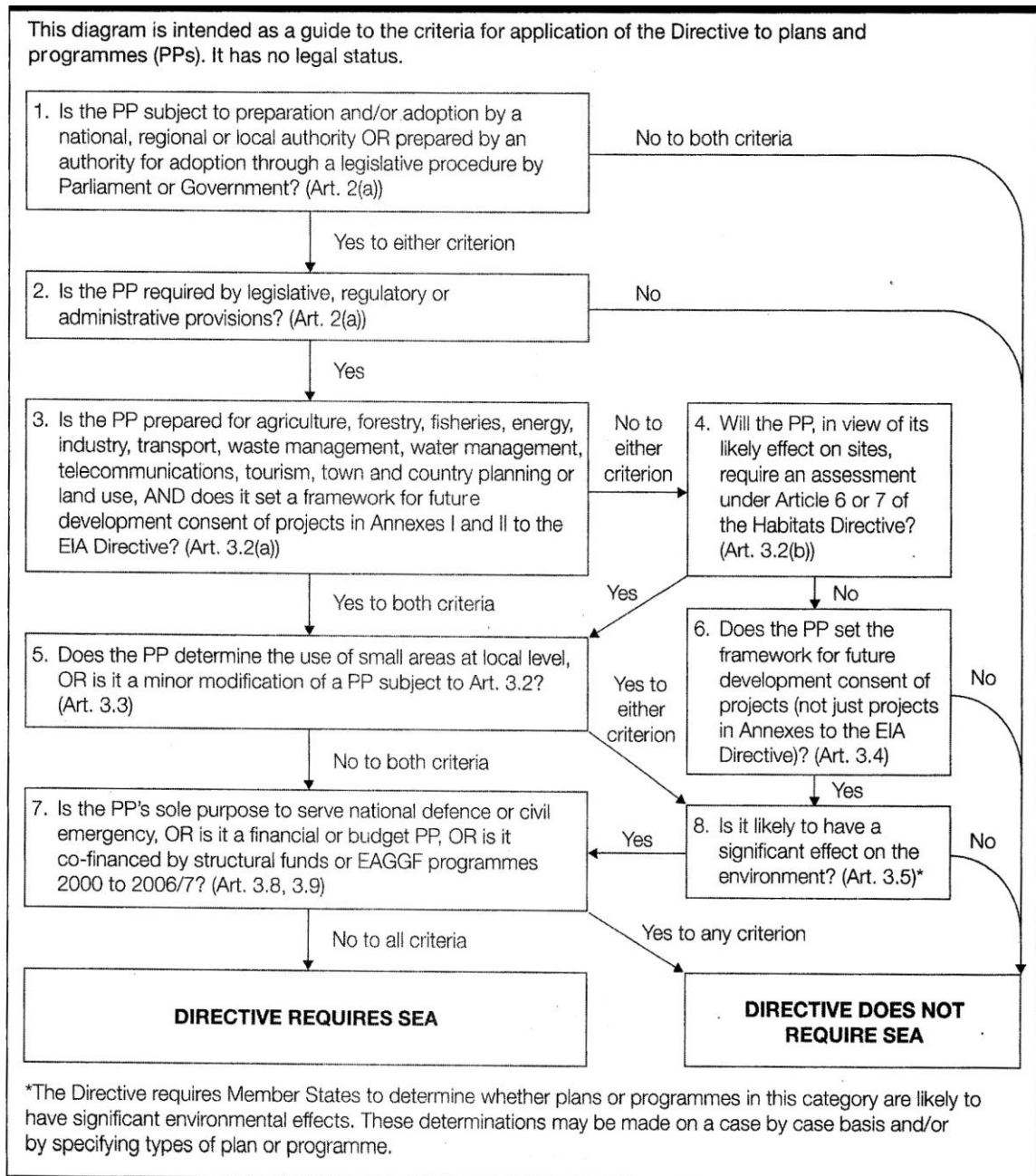
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Assessment

- 4.2 It is required by the Localism Act (2011) that Neighbourhood Plans must be in general conformity with the strategic policies of the Local Plan. Rutland County Council has a Core Strategy which was adopted in July 2011. Therefore the Neighbourhood Plan must be in general conformity with this document. The Core Strategy was subject to a full Sustainability Appraisal which included a SEA assessment. This ensured that there were no likely significant effects which would be produced from the implementation of the Core Strategy and if so ensured mitigation measures were in place. An assessment of the UNP policies and their conformity to the adopted Core Strategy has been undertaken and can be viewed in Appendix 1. This confirms that there is general conformity between the Core Strategy DPD and the UNP and there are no significant changes introduced by the UNP. **It is therefore concluded that the implementation of the UNP would not result in any likely significant effects upon the environment.**
- 4.3 Rutland County Council is also preparing a Site Allocations and Policies DPD and is at the examination stage. This document has been subject to a Sustainability Appraisal which included a SEA assessment. This ensured that there were no likely significant effects which would be produced from the implementation of the Site Allocations and Policies DPD and if so ensured mitigation measures were in place. An assessment of the UNP policies and their conformity to the emerging Site Allocations and Policies DPD (submission document) (April 2013) has been undertaken and can be viewed in Appendix 1. This confirms that there are no significant changes introduced by the UNP. **Again, it is concluded that the implementation of the UNP would not result in any likely significant effects upon the environment.**
- 4.4 The UNP allocates sites for residential development. The sites allocated are in conformity with the Core Strategy policies as they are located to the west/north west of Uppingham (as identified in Core strategy para 2.17) and the total number of potential dwellings does not exceed the 250 figure stated in policy CS9. The sites were originally assessed through the Sustainability Appraisal/Site Appraisals for the Site Allocations and Policies DPD at the preferred options stage. The evidence base work to support the Site Allocations and Policies DPD and the site appraisals have been used to inform the assessment and allocation of sites in the UNP. The site allocated to the south of Leicester Road was not a preferred option in the Site Allocations and Policies DPD, due to its location outside of the settlement limits and several physical constraints to sustainable development identified. However the site has been reassessed by the Uppingham Town Council following its inclusion within the settlement limits and found that the site scored green on Topography, Biodiversity, Cultural Heritage, Townscape, Public Open Space, Water Conservation, Contamination, Proximity to services, Access to Public Transport, Availability, Transport and Available Infrastructure. It was concluded as an appropriate site for allocation and no significant negative effects were identified as a result of its allocation. The other sites allocated for development in the UNP were found to be suitable and no significant negative effects were identified when assessed through the Site Allocations and Policies DPD preferred options Sustainability Appraisal and Site Appraisals. **Following these findings it is therefore concluded that the implementation of the UNP would not result in any likely significant effects upon the environment.**
- 4.5 Guidance upon SEA's written by the Department of the Environment produces a diagram to the process for screening a planning document to ascertain whether a full SEA is required, see figure1.

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Figure 1. Application of the SEA Directive to plans and programmes



4.6 The process in figure 1 has been undertaken and the findings can be viewed in Table 1. Table 1 shows the assessment of whether the UNP will require a full SEA. The questions in table 1 are drawn from the diagram above which sets out how the SEA Directive should be applied.

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Table 1: Establishing the Need for SEA

Stage	Y/N	Reason
1. Is the PP (plan or programme) subject to preparation and/or adoption by a national, regional or local authority OR prepared by an authority for adoption through a legislative procedure by Parliament or Government? (Art. 2(a))	Y	This Neighbourhood Plan is not a DPD, however if the document received 50% or more 'yes' votes through a referendum it will be adopted by Rutland County Council.
2. Is the PP required by legislative, regulatory or administrative provisions? (Art. 2(a))	N	Communities have a right to be able to produce a Neighbourhood Plan, however communities are not required by legislative, regulatory or administrative purposes to produce a Neighbourhood Plan. This plan however if adopted would form part of the statutory development plan, therefore it is considered necessary to answer the following questions to determine further if an SEA is required.
3. Is the PP prepared for agriculture, forestry, fisheries, energy, industry, transport, waste management, water management, telecommunications, tourism, town and country planning or land use, AND does it set a framework for future development consent of projects in Annexes I and II to the EIA Directive? (Art 3.2(a))	Y	The UNP is prepared for town and country planning and land use and does set out a framework for future development in Uppingham, including Industry and Employment and retail development, which may fall under 10(a & b) of Annex II of the EIA directive.
4. Will the PP, in view of its likely effect on sites, require an assessment for future development under Article 6 or 7 of the Habitats Directive? (Art. 3.2 (b))	N	See screening assessment for HRA in following section of this report.
5. Does the PP Determine the use of small areas at local level, OR is it a minor modification of a PP subject to Art. 3.2? (Art. 3.3)	Y	UNP identifies specific uses for sites within the UNP area, including housing, retail, employment and community uses.
6. Does the PP set the framework for future development consent of projects (not just projects in annexes to the EIA Directive)? (Art 3.4)	Y	UNP sets policies which planning applications within the UNP area must adhere to.
7. Is the PP's sole purpose to serve the national defence or civil emergency, OR is it a financial or budget PP, OR is it co-financed by structural funds or EAGGF programmes 2000 to 2006/7? (Art 3.8, 3.9)	N	N/A
8. Is it likely to have a significant effect on the environment? (Art. 3.5)	N	No likely significant effects upon the environment have been identified.

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Screening Outcome

- 4.7 As a result of the assessment in Table 1, it is unlikely there will be any significant environmental effects arising from the UNP. The UNP is in conformity with the Core Strategy (2011) and the proposed Site Allocations and Policies DPD, which have both had a full Sustainability Appraisal, incorporating a SEA, finding no negative significant effects. The assessment of the UNP policies identifies no significant negative effects and as such, the UNP does not require a full SEA to be undertaken.

5. HRA Screening

HRA Process

- 5.1 The HRA process is generally divided into three stages. The initial stage of the HRA process is called the screening stage and determines if there are any likely significant effects possible as a result of the implementation of the plan and if an appropriate assessment is needed.
- 5.2 The screening process should provide a description of the plan and an identification of the Natura 2000 sites which may be effected by the plan and assess the significance of any possible effects on the identified sites.

Relevant Natura 2000 sites

- 5.3 Rutland Water Special Protection Area (SPA)/RAMSAR is the only international designated site within a 15km radius of the UNP boundary. Therefore the HRA screening assessment needs to identify if any likely significant effects will be caused by the implementation of the UNP.

Rutland Water SPA/RAMSAR

- 5.4 Rutland Water is a man made pump storage reservoir created by the damming of the Gwash Valley in 1975 and is the largest reservoir in the United Kingdom. In general the reservoir is drawn down in the summer and filled during the autumn and winter months when river levels are high. The main habitats are open water and a mosaic of lagoons, reedswamp, marsh, old meadows, scrub and woodland. The lagoons are one of the most important areas for wintering wildfowl.
- 5.5 The interest features in relation to the site as an SPA and RAMSAR are provided in table 2.

Table 2. Interesting Features of Rutland Water SPA/RAMSAR

Designation	Interesting Features
SPA	<p><i>Qualifies under Article 4.2 by supporting populations of European importance of the following migratory species over winter:</i></p> <ul style="list-style-type: none"> - Shoveler <i>Anas clypeata</i> - Teal <i>Anas crecca</i>* - Wigeon <i>Anas Penelope</i>* - Gadwall <i>Anas strepera</i> - Tufted Duck <i>Aythya fuligula</i>* - Goldeneye <i>Bucephala clangula</i>* - Mute Swan <i>Cygnus atra</i>* - Goosander <i>Mergus merganser</i>* - Great Crested Grebe <i>Podiceps cristatus</i>* <p><i>Qualifies under Article 4.2 by regularly supporting at least 20,000 waterfowl.</i></p> <p>* Species that may be removed following the SPA Review *Stroud et al, 2001; The UK SPA network: its scope and content, JNCC)</p>

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Designation	Interesting Features
RAMSAR	<p>RAMSAR criterion 5 – Assemblages of international importance Species with peak counts in winter:</p> <ul style="list-style-type: none"> - 19274 waterfowl (5 year peak mean 1998-99 – 2002/2003) <p>RAMSAR criterion 6 – Species/populations occurring at levels of international importance Qualifying Species:</p> <ul style="list-style-type: none"> - Gadwall <i>Anas strepera</i> - Northern shoveler <i>Anas clypeata</i>

5.6 The sensitivities and vulnerabilities of the site have been identified in HRA assessments for Rutland County Council’s Core Strategy and Site Allocations and Policies Development Plan Documents. It is identified that the most noticeable species are the populations of gadwall and shoveler (it is likely that all other species will be removed from the site citation (other than as Assemblage species) by the SPA Review, when adopted). Data on the use of the site by these species indicate that gadwall and shoveler numbers peak in the autumn, generally around September/October, before declining over the winter period. This suggests that Rutland is mainly used as a refuge whilst species are moulting in early autumn, before dispersing from the site to other wintering areas as winter progresses. During the winter, gadwall and shoveler occupy more extensive open waters of lakes, reservoirs and gravel puts. Threats include disturbance and water pollution. The principle sensitivities and vulnerabilities of Rutland Water therefore include:

- Water Quality. The level of phosphate can vary above the recommended level at certain times of the year. This increases the risk of a shift in the trophic status of the water body to an algae dominated system, which would adversely affect the site;
- Water level. The water level is linked to abstraction and affects accessible aquatic plants are for wildfowl feeding on the site. The ecological perturbation that frequent lowering and raising of water levels causes could be an important factor in whether or not a switch in trophic status occurs.
- Recreation. Management of the trout fishery has caused some debate over potential effects on site ecology. In addition, water sports such as sailing have the potential to affect the site through disturbance. Casual recreation around the site margins may also affect some interest features. The site and the interest features are most likely to be vulnerable to disturbance during the key autumn period.

Assessment of effects

5.7 Categorisation has been set up to identify the effect of policies upon Rutland Water SPA/RAMSAR. Table 3, below identifies the categories used in the assessment of effects on the UNP upon Rutland Water.

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Table 3. Categorisation of likely effects

Category	Sub Category	Description
1. No negative effect	A	Policy will not lead to development. For example it relates to design or other qualitative criteria, or it is not a land-use planning policy.
	B	Policy intended to conserve or enhance the nature, built or historic environment, where enhancement measures will not be likely to have any negative effect on a European Site.
	C	Policy would have no effect because no development could occur through the policy itself, the development being implemented through later policies in the same plan, which are more specific and therefore more appropriate to assess for their effects on European Sites and associated sensitive areas.
	D	Policy is similar to existing Rutland Local Plan policy which has been assessed as having no negative effects by a HRA.
2. No significant effect	/	No significant effect either along or in combination with other plans or projects, because effects are trivial or minimal.
3. Likely significant effect alone	/	Policy could indirectly affect a European Site, because it provides for, or steers, a quantity or type of development that may be very close to it, or ecologically, hydrologically or physically connected to it, or it may increase disturbance as a result of increased recreational pressure.
4. Likely significant effects in combination	/	The policy alone would not be likely to have significant effects but its effects are combined with the effects are combined with the effects of other policies or proposals provided for or coordinated by the relevant plans or projects the cumulative effects would be likely to be significant.

5.8 An assessment of likely significant effects has been undertaken for all policies of the UNP, using the criteria in table 1. The full assessment can be viewed in appendix 1 to this report. An overview of the findings can be found in table 4. These findings show that many of the policies will have no negative effect upon Rutland Water and some policies may produce minimal effect. No policies will have a likely significant effect upon Rutland Water. Most policies are similar and in conformity to those in the Core Strategy and Site Allocations and Policies, which have been subject to a HRA which confirms no significant effects are likely. **It is therefore concluded that the implementation of the UNP will not result in any likely significant effects upon Rutland Water.**

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Table 4. An overview of the assessment of likely significant effects through implementation of the UNP

		Uppingham Neighbourhood Plan Policies and Proposals																											
		Policy 1	Proposal 1	Proposal 2	Policy 2	Proposal 3	Proposal 4	Policy 3	Proposal 5	Policy 4	Policy 5	Policy 6	Policy 7	Proposal 6	Proposal 7	Proposal 8	Proposal 9	Proposal 10	Proposal 11	Proposal 12	Proposal 13	Proposal 14	Proposal 15	Policy 8	Policy 9	Policy 10	Policy 11	Policy 12	Proposal 16
Categorisation of likely effects on Rutland Water SPA/RAMSAR		1B	1B	1C	1A	1A	1A	1A	2	2	2	1B	2	1C	1B	1C	2	1A	1D	1A	1B	1C	1A	1B	1B	1A	1B	1B	2

<i>Key to the assessment of likely significant effects</i>	
<i>Category</i>	<i>Sub Category</i>
1. No negative effect	A
	B
	C
	D
2. No significant effect	—
3. Likely significant effect alone	—
4. Likely significant effects in combination	—

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In combination effects

- 5.9 Existing plans and proposals must be considered when assessing new plans or programmes for likely significant effects as they may create 'in combination' effects.
- 5.10 For reference the relevant plans or programmes which should be considered when reviewing in combination effects are listed below:
- Rutland Core Strategy DPD
 - Rutland Site Allocations and Policies DPD
 - Rutland Local Plan Saved Policies
 - Harborough District Council Core Strategy
 - Harborough Local Plan Saved Policies
 - Melton Borough Council Local Plan Saved Policies
 - South Kesteven Core Strategy
 - South Kesteven Site Allocations and Planning Policies DPD
 - South Kesteven Local Plan Saved Policies
 - City of Peterborough Core strategy
 - City of Peterborough Site Allocations DPD
 - North Northants Joint Planning Unit Core Strategy
 - Rural North Oundle and Thrapston Plan
 - East Northamptonshire Council Local Plan Saved Policies
 - National Planning Policy Framework
- 5.11 A HRA has been undertaken for Rutland County Council's Core Strategy and Site Allocations and Policies (submission stage) DPD's and serve as a useful starting point. These HRA's both identify possible in combination effects in regards to development and regional water resource demands on Rutland Water. However, it is identified in the Water Cycle Study that there is either sufficient capacity within the sewerage network to avoid significant effects on Rutland Water, or works will be able to improve their treatment levels within the limits of conventional wastewater treatment technology to allow for increased discharges from the Waste water Treatment Works (WwTWs).
- 5.12 It is therefore concluded that no significant in combination likely effects will occur due to the implementation of the UNP.

Screening Outcome

- 5.13 The screening assessment which has been undertaken concludes that no likely significant effects in regards to the Rutland Water SPA/RAMSAR site will occur as a result of the implementation of the UNP. As such, the UNP does not require a full HRA to be undertaken.

6. Conclusions and recommendations of the Screening Assessments

SEA

- 6.1 A screening assessment to determine the need for a SEA in line with regulations and guidance was undertaken and can be found in chapter 4 of this report. The assessment finds no negative significant effects will occur as a result of the UNP. The assessment also finds many of the policies are in conformity with the local plan policies which have a full SA/SEA which identified no significant effects will occur as a result of the implementation of policies.
- 6.2 From the findings of the screening assessment it is recommended that a full SEA does not need to be undertaken for the UNP.

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HRA

- 6.3 A screening assessment to determine the need for a HRA in line with regulations and guidance was undertaken and can be found in chapter 5 of this report. The assessment finds no likely significant effects will occur as a result of the UNP. Many of the policies are in conformity with the local plan policies, which have undergone a full HRA which identified no likely significant effects will occur as a result of the implementation of policies. It is also identified that no likely in combination significant effects will occur as a result of the implementation of the UNP.
- 6.4 From the findings of the screening assessment it is recommended that a full HRA does not need to be undertaken for the UNP.

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Appendix 1

**Assessment table of general conformity of policies against the Rutland Local Plan
and the likely significant effects upon Rutland Water SPA/RAMSAR**

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UNP Policy/Proposal	Relevant policies in Core Strategy/ Site Allocations and Policies DPD's CS – Core Strategy DPD SP – Site Allocations and Policies DPD	Difference in Policy To Core Strategy DPD/ Site Allocations & Policies DPD (April 2013) and likely effects of the policy/proposal in regards to SEA criteria.	Categorisation of likely effects on Rutland Water SPA/RAMSAR	Comments
Policy 1 – Protect Central Conservation Area	CS22 – The historic and cultural environment CS19 – Promoting good design SP14 – Design and Amenity SP19 – The historic environment	Follows the countywide policies set in the CS and SP and provides greater detail in regards to Uppingham. No significant effects are identified.	1B	Primarily protective policy with no negative effect upon Rutland Water.
Proposal 1 – Protect Central Conservation Area	CS22 – The historic and cultural environment CS19 – Promoting good design SP14 – Design and Amenity SP19 – The historic environment	Follows the countywide policies set in the CS and SP and provides greater detail in regards to Uppingham. No significant effects are identified.	1B	Primarily protective policy with no negative effect upon Rutland Water.

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UNP Policy/Proposal	Relevant policies in Core Strategy/ Site Allocations and Policies DPD's CS – Core Strategy DPD SP – Site Allocations and Policies DPD	Difference in Policy To Core Strategy DPD/ Site Allocations & Policies DPD (April 2013) and likely effects of the policy/proposal in regards to SEA criteria.	Categorisation of likely effects on Rutland Water SPA/RAMSAR	Comments
Proposal 2 - Create Additional Community Service Facilities	CS7 – Delivering socially inclusive communities	Provides more detail to the CS policy on the development of a community service in Uppingham. No significant effects are identified.	1C	Areas have been investigated but no specific site has been identified, therefore this policy would provide no negative effects upon Rutland Water.
Policy 2 – Public Information Signage	SP15 – Advertisements	Provides more specific details to SP15 and will not create any significant effects.	1A	This policy provides criteria for the design of signage and will not have a negative effect upon Rutland Water.
Proposal 3 - Technology and Infrastructure – Community Safety	CS18 – Sustainable transport and accessibility	This policy supports the CS policy for transport and infrastructure and details specific requirements needed to improve the safety of the roads in Uppingham. No significant effects are identified.	1A	The measures proposed in this policy are to improve safety in Uppingham and will not lead to additional traffic generation. No negative effects will occur in regards to Rutland Water.

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UNP Policy/Proposal	Relevant policies in Core Strategy/ Site Allocations and Policies DPD's CS – Core Strategy DPD SP – Site Allocations and Policies DPD	Difference in Policy To Core Strategy DPD/ Site Allocations & Policies DPD (April 2013) and likely effects of the policy/proposal in regards to SEA criteria.	Categorisation of likely effects on Rutland Water SPA/RAMSAR	Comments
Proposal 4 - Technology and Infrastructure – Communications	SP13 – Telecommunications and high speed broadband	This policy supports the emerging SP policy and identifies a telecommunications mast which has been granted planning permission. No significant effects are identified.	1A	This policy supports wi-fi access in the town and also a telecommunications mast which has been granted planning permission which identified no impact upon the environment/conservation objectives of Rutland Water.
Policy 3 - Technology and Infrastructure – CIL Contributions	CS8 – Developer Contributions	This policy supports the requirement of developer contributions through the emerging CIL schedule. No significant effects are identified.	1A	No effect. This policy supports the developer contributions policy, which would allow the council to provide additional services/infrastructure needed to support development. Additional development would be assessed at a later stage when full details of the development are available. This would not cause a negative effect to Rutland Water.

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UNP Policy/Proposal	Relevant policies in Core Strategy/ Site Allocations and Policies DPD's CS – Core Strategy DPD SP – Site Allocations and Policies DPD	Difference in Policy To Core Strategy DPD/ Site Allocations & Policies DPD (April 2013) and likely effects of the policy/proposal in regards to SEA criteria.	Categorisation of likely effects on Rutland Water SPA/RAMSAR	Comments
Proposal 5 - Tourism	CS15 - Tourism	This supports the tourism policy in the Core strategy and seeks to promote Uppingham as a tourist destination. This may result in further traffic generation, however Uppingham is a market town well served by several bus routes and the A47, therefore no significant effects are identified.	2	No effect. This seeks the promotion of Uppingham as a tourist destination and therefore may possibly attract more traffic into the area. This would most likely be via the A47 which would no have a significant effect upon Rutland Water. It is predicted that this will not be a marked difference. There are proposals for a new tourism bus being started within the county.

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UNP Policy/Proposal	Relevant policies in Core Strategy/ Site Allocations and Policies DPD's CS – Core Strategy DPD SP – Site Allocations and Policies DPD	Difference in Policy To Core Strategy DPD/ Site Allocations & Policies DPD (April 2013) and likely effects of the policy/proposal in regards to SEA criteria.	Categorisation of likely effects on Rutland Water SPA/RAMSAR	Comments
Policy 4 – Housing - Numbers	CS9 – Provision and distribution of new housing	This supports the provision of housing set in the CS. Allocations identified in this policy are within the north west/west of Uppingham as set in para 2.17 of the Core Strategy and do not exceed the 250 dwelling figure set in CS9. Using the site appraisals method for all sites no significant effects are identified.	2	Insignificant effect. These sites were identified and assessed by the Habitat Regulation Assessment for the Site Allocations and Policies DPD. It was identified that the sites are located some distance (>6km) from Rutland Water and so direct effects will be limited; however, Uppingham is in the headwaters of the R. Welland, which is used to feed Rutland Water via an abstraction near Stamford. Cumulatively, development in this area could affect the quality of water entering Rutland Water if existing treatment facilities (WWTs) or other waste and surface run-off infrastructure (e.g. CSOs) are already at or near capacity. However a water cycle study previously undertaken determined that there is either sufficient capacity within the sewerage network to avoid significant effects on Rutland Water, or works will be able to improve their treatment levels within the limits of conventional wastewater treatment technology to allow for increased discharges from the WwTWs. On this basis, the policy and allocations are considered unlikely to have any significant effects on any European Sites.

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UNP Policy/Proposal	Relevant policies in Core Strategy/ Site Allocations and Policies DPD's CS – Core Strategy DPD SP – Site Allocations and Policies DPD	Difference in Policy To Core Strategy DPD/ Site Allocations & Policies DPD (April 2013) and likely effects of the policy/proposal in regards to SEA criteria.	Categorisation of likely effects on Rutland Water SPA/RAMSAR	Comments
Policy 5 - Housing - Single Dwelling Development	CS4 – Location of Development CS9 – Provision and distribution of new housing SP4 – Built development in the towns and villages	This supports the policies set in the CS and SP and allows for 6 'self build' developments which will be windfall developments. Due to the small number identified this will not exceed the housing figures for Uppingham identified in CS9. No significant effects are identified.	2	Development of 6 single dwelling plots in Uppingham does not exceed the identified housing target in the Core Strategy. The housing figures have been reviewed by the Core Strategy and Site Allocation and Policies HRA assessments and no significant effects were identified.
Policy 6 - Housing – Site C	CS23 – Green Infrastructure, open space, sport and recreation SP21 – Provision of Open Space	This policy allocates part of land identified for residential development as recreation land which will be subject to other local plan policies. No significant effects are identified.	1B	This policy provides provision for recreation land which will have no effect upon Rutland Water.

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Policy 7 - Industry and Employment – Site D - Uppingham Gate	CS13 – Employment and economic development CS14 – New provision for industrial and office development and related uses	This policy allocates an employment site, of which part is allocated in the Rutland Local Plan (2001). It is in conformity with existing local plan policies and identifies appropriate types of development for the employment allocation at Uppingham Gate. No significant effects are identified.	2	This site is an existing employment allocation which has been screened from environmental impacts through the Core Strategy Habitats Regulation Assessment, which have identified the site will have little/no negative effect upon Rutland Water. The proposed uses are light business uses with minimal transport movements. However there is a potential transport/coach interchange with public car parking identified, which could have an impact on the air quality of the site, however this would have an insignificant effect upon Rutland Water as the majority of transport is existing in Uppingham and this site would serve as a meeting point and 'interchange' for the existing transport to use.
Proposal 6 - Industry and Employment – Uppingham Gate	CS13 – Employment and economic development CS14 – New provision for industrial and office development and related uses	This proposal supports bids for funding for the site. No significant effects are identified.	1C	This proposal supports bid funding but does not allocate sites for development therefore will cause no effect upon Rutland Water.

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Proposal 7 – Industry and Employment – Station Road	CS13 – Employment and economic development CS14 – New provision for industrial and office development and related uses	This policy is in line with local Plan policies and highlights issues to be investigated to improve the Station Road Industrial Estate.	1B	This policy does not promote additional development and provides provisions for improvement to the development and therefore would not have an effect on Rutland Water.
Proposal 8 – Industry and Employment – Station Road	CS13 – Employment and economic development CS14 – New provision for industrial and office development and related uses	This proposal supports bids for funding for the site. No significant effects are identified.	1C	This proposal supports bid funding but does not allocate sites for development therefore will cause no effect upon Rutland Water.

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Proposal 9 – Transport - Coach Stop	CS18 – Sustainable transport and accessibility	This policy is in line and will be used in conjunction with Local Plan policies. A long distance coach stop is supported through this policy, however the detail of this and its location is not identified.	2	This policy supports a long distance coach stop, however does not identify the details and location. This may have a small effect upon the transport and additional coaches coming into Uppingham, however it is most likely that the A47 will be the main route used and therefore will have a limited effect upon Rutland Water. This issue can be explored further when a site is identified either through a review of the plan or through a planning application.

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Proposal 10 - Transport – Car Parking Policy	CS18 – Sustainable transport and accessibility SP14 – Design and Amenity	This policy is in line and will be used in conjunction with Local Plan policies. It requires new housing developments to link communal parking spaces to individual properties where appropriate, this is a slight diversion from SP14 as this does not require communal parking to be linked to individual properties. This will not result in any significant effects.	1A	The parking space numbers required by this policy is not changed from the parking standards proposed in the Site Allocations and Policies DPD. The parking standards have been assessed through the HRA for the Site Allocations and Policies DPD and no significant effects were found.
Proposal 11 - Transport – Safer Walking and Cycling Routes	CS18 – Sustainable transport and accessibility SP14 – Design and amenity	This policy is in conformity and supports local plan policies. No significant effects are identified.	1D	This policy encourages appropriate and safe access routes for pedestrian and cyclists in Uppingham and will have no negative effect upon Rutland Water.

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Proposal 12 - Transport – Seaton Road Roundabout	CS18 – Sustainable transport and accessibility	This policy seeks to investigate issues with the Seaton Road roundabout. No development is proposed therefore no significant effects are identified.	1A	This policy does not propose any new development and seeks to investigate issues with a specific site. This will have no effect upon Rutland Water.
Proposal 13 - Transport – New Bus Interchange	CS18 – Sustainable transport and accessibility	This policy is in line with local plan policies and aims to improve the present bus interchange making it safe and more attractive. No significant effects are identified.	1B	This policy does not generate further development and only aims to improve what is there at present, therefore will not have an impact upon Rutland Water.
Proposal 14 - Retail Development – Change of Use	CS17 – Town Centres and Retailing SP11 – Town Centre Area, primary and secondary shopping frontages	This policy supports further work/research to be undertaken into change of use policy within Uppingham Town Centre. No significant effects are identified.	1C	This policy suggests additional work to be undertaken and does not proposed new development, therefore will have no effect on Rutland Water.

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Proposal 15 - Retail Development – Exterior Temporary signage	CS17 – Town Centres and Retailing CS22 – Historic and cultural environment SP11 – Town Centre Area, primary and secondary shopping frontages SP19 – The historic environment	This policy supports further research/studies into the case for limiting the size of temporary signage in the conservation area, these studies would take into consideration existing local plan policies. No significant effects are identified.	1A	This policy does not propose any new development and seeks to investigate issues with temporary signage in the conservation area; this will have no effect upon Rutland Water.

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Policy 8 - Retail Development – Shop Frontages	CS17 – Town Centres and Retailing SP11 – Town Centre Area, primary and secondary shopping frontages	This policy is in general conformity with local plan policies however extends the primary shopping frontages set in the Site Allocations and Policies DPD to cover the whole of the central conservation area in Uppingham. This policy identifies important properties in the town centre and will not cause significant effects.	1B	This policy extends the primary shopping frontage within the central conservation area, this does not encourage further development, but restrict the types of development/change of use within this area. This therefore would not have an effect upon Rutland Water.
Policy 9 - Design and Access	SP14 – Design and Amenity	This policy acknowledges current national and local policy and provides additional guidance in relation to information provided with a planning application. No significant effects are identified.	1B	This policy requires further information to be provided with a planning application to show how new development reflects the heritage, character and environment of the town. This policy includes criteria relating to the environmental footprint of a development, which may result in positive effects upon the environment. This will not have a negative effect upon Rutland Water.

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Policy 10 - Environment and Preservation of Important Open Space	CS23 – Green Infrastructure, open space, sport and recreation SP21 – Provision of open space	This policy provides further prescription for development than existing policy by giving a set maximum distance for all households to be within walking distance of open countryside. However due to the scale of the town it is not expected that any significant effects will arise from this policy.	1A	This policy aims to retail good access to the countryside by requiring development to be located within locations that are within a 1 mile walking distance from open countryside. Due to the distance of Uppingham from Rutland Water, this will not have a significant impact upon Rutland Water.
Policy 11 - Environment and Preservation of Important Open Space	CS23 – Green Infrastructure, open space, sport and recreation SP20 – Important open space and frontages	This policy is in general conformity with the local plan and supports CS23. No significant effects are identified.	1B	This policy proposes to safeguard all green spaces and does not promote any further development, therefore will have no impact upon Rutland Water.

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Policy 12 - Environment and Preservation of Important Open Space	CS23 – Green Infrastructure, open space, sport and recreation SP21 – Provision of Open Space	This policy is in conformity with the local plan policies and provides specific guidance upon the Uppingham Gate site in regards to a green boundary. This will not result in any negative effects.	1B	No effect. This policy proposes a green northern boundary at the Uppingham Gate employment site. This will create a buffer between the town and the A47/Countryside. This will not have any negative impacts upon Rutland Water.
Proposal 16 - Tods Piece	CS7 – Delivering socially inclusive communities CS23 – Green Infrastructure, open space, sport and recreation SP20 – Important open space and frontages SP21 – Provision of Open Space	This policy is in conformity with local plan policies encouraging the improvement and retention of a valuable community facility – Tods Piece. The policy supports the development of additional facilities to support Tod's Piece, however it is not identified that this will create a significant effects.	2	This policy proposes improvements and additional facilities to be created at Tods Piece. This will allow the population to enjoy and make better use of the facilities within the town. This will not have a significant negative effect upon Rutland Water.